

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

SECURITIES AND EXCHANGE
COMMISSION,

Plaintiffs,

-v-

TERRAFORM LABS PTE. LTD. and DO
HYEONG KWON,

Defendant.

23-cv-1346 (JSR)

VERDICT

1. On the SEC's first claim, under Section 17, we the jury find defendant Terraform Labs Pte. Ltd. ("Terraform"):

Liable ☒ Not Liabile ☐

[If you answer "Liabile" to Question 1, answer Question 2. Otherwise skip to Question 3.]

2. Having found Terraform liable on the SEC's first claim, we the jury find that Terraform acted [choose the highest you found]:

Intentionally ☐ Recklessly ☒ Negligently ☐

3. On the SEC's first claim, under Section 17, we the jury find defendant Do Hyeong Kwon ("Kwon"):

Liabile ☒ Not Liabile ☐

[If you answer "Liabile" to Question 3, answer Question 4. Otherwise, skip to Question 5.]

4. Having found Kwon liable on the SEC's first claim, we the jury find that Kwon acted [choose the highest you found]:

Intentionally ☒ Recklessly ☐ Negligently ☐

5. On the SEC's second claim, for intentional or reckless violation of Rule 10b-5, we the jury find defendant Terraform:

Liabile ☒ Not Liabile ☐

6. On the SEC's second claim, for intentional or reckless violation of Rule 10b-5, we the jury find defendant Kwon:

Liable ✓ Not Liable _____

[If you answered "Not Liable" to Question 5, do not answer question 7. If you answered "Liable" to Question 5, proceed to Question 7.]

7. On the claim for control person liability regarding Terraform's violation of Rule 10b-5, we the jury find defendant Kwon:

Liable ✓ Not Liable _____

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FOREPERSON

Date: 04/05/24